

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Robert J. Oliveira et al.

Confirmation No.: 2680

Serial No.:

10/729,715

Examiner: Unknown

Filing Date:

December 5, 2003

Group Art Unit: 2644

Docket No.:

1043.1108101

Customer No.: 28075

For:

USER DISPOSABLE SLEEVE FOR USE WITHIN THE EAR CANAL

TRANSMITTAL SHEET

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 15th day of March 2004.

David M. Crompton

We are transmitting herewith the attached:

[]	Amendment
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No additional claim fee required

The claim fee has been calculated as shown:

		CLAIMS	AS AMENI	DED			
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHESŢ PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM			+ 145 =	\$	+ 290 =	\$	
TOTAL			\$		\$		

[]	A check in the amount of <u>\$</u> is enclosed. Itemization:
	Fee Code <u>\$</u>
	Fee Code <u>\$</u>
	Fee Code <u>\$</u>
[XX]	Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
[XX]	Other: INFORMATION DISCLOSURE STATEMENT & FORM PTO-1449.
[XX]	Return Receipt Postcard (MPEP 503).
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.
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David M. Crompton, Reg. No. 36,7

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349



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n re: Robert J. Oliveira et al.

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INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 15th day of Match 2004.

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert J. Oliveira et al.

By their Attorney,

Date:

David M. Crompton, Reg. No. 36,772

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2004	FORM PTO-144		Atty. Docket No.: 1043.1108101	Serial No.: 10/729,715		
, Š			Applicant: Robert J. Oliveira et al.			
IST OF	PATENTS AND PUBLI APPLICANT'S INFORM	CATIONS FOR ATION	Confirmation No.: 2680			
	DISCLOSURE STATEMENT		Filing Date: December 5, 2003	Group Art: 2644		
		U.S. PATENT	DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Filing Date If Appropriat		
AA	4,880,076	11/14/1989	Ahlberg et al.			
AB	5,002,151	03/26/1991	Oliveira et al.			
AC	5,920,636	07/06/1999	Oliveira et al.			
AD	6,310,961	10/30/2001	Oliveira et al.			
	F	OREIGN PATE	NT DOCUMENTS			
	Document No.	Date	Country	Translation Yes No		
	<u> </u>					
	OTHER AR	Γ (Including Author	, Title, Date, Pertinent Pages, Et	c.)		
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.